

GOVERNMENT OF ODISHA OFFICE OF THE ENGINEER-IN- CHIEF ELECTRICITY-CUM-PRINCIPAL CHIEF ELECTRICAL INSPECTOR, ODISHA, UNIT-V, POWER HOUSE SQUARE, VIDYUT MARG, BHUBANESWAR Tel.-0674-2394873, Fax-0674-2391255 Email: <u>eic-epcei@nic.in</u> \*\*\*\*\*

No. 5357 / Dated, Bhubaneswar the 7. 12.2022

## OPERATIONAL GUIDELINES FOR ONE TIME SETTLEMENT OF ARREAR ELECTRICITY DUTY AND INTEREST IN RESPECT OF CONSUMERS WHO GENERATE ENERGY FOR THEIR OWN USE OR CONSUMPTION (CAPTIVE CONSUMERS)

- Short Title: -Operational guidelines for One Time Settlement of arrear electricity duty and interest in respect of consumers who generate energy for their own use or consumption (Captive Consumers) under Resolution No. ENG-BUD-ED-0005-2019/11797 dated 30.11.2022 of Department of Energy.
- 2. Extent: -It shall extend to the whole of the State of Odisha.
- 3. Commencement: -It shall come into force from the date of the issue of these quidelines.

# 4. Definition(s): 4.1 EIC (Electricity)-cum-PCEI(O): Engineer in Chief (Electricity)-cum-

Principal Chief Electrical Inspector (Odisha)

- **4.2** CE-cum-CEI: Chief Engineer-cum-Chief Electrical Inspector
- **4.3** ACE-cum-EI: Additional Chief Engineer-cum-Electrical Inspector (Superintending Engineer – cum- Electrical Inspector)
- **4.4 Inspecting Officer:** Chief Engineer-cum-Chief Electrical Inspector or Additional Chief Engineer-cum-Electrical Inspector (Superintending Engineer – cum- Electrical Inspector)

### 5. Procedure: -

**5.1** An application made under the resolution, shall be in **Form I** along with relevant enclosures. It shall be submitted in duplicate and accompanied by two copies of the statement of arrear ED as on 31.03.2022. The declaration in

prescribed format appended to these operational guidelines shall also be furnished in form of affidavit before Notary / First Class Magistrate on non-Judicial stamp paper of rupees 100/-.

- **5.2** The said application shall be submitted to the concerned Chief Engineer –cum-Chief Electrical Inspector or Addl. Chief Engineer-cum-Electrical Inspector by the owner or its authorized person within the stipulated period of two months from the date of issue of these operational guidelines.
- **5.3** The concerned Chief Engineer-cum-Chief Electrical Inspector or Addl. Chief Engineer-cum-Electrical Inspector on receipt of the said application shall acknowledge the receipt of the same in **Form II** within 4 (four) days of receipt of application in **Form-I**.
- 5.4 If the concerned Chief Engineer-cum-Chief Electrical Inspector or Addl. Chief Engineer-cum-Electrical Inspector finds any discrepancies or omissions in the application, he/she shall intimate the captive consumer vide Form II (A) for necessary compliance for re-submission, indicating details of the same in writing within 8 (eight) days from the date of the receipt of application in Form-I. The captive consumers shall comply Form II (A) within 12 (twelve) days from the date of receipt of application in Form-I.
- 5.5 After compliance by the applicant the acknowledgement of correct application shall be issued by the concerned Chief Engineer-cum-Chief Electrical Inspector or Addl. Chief Engineer-cum-Electrical Inspector in Form II (B) within 16 (sixteen) days from the date of receipt of application in Form-I.
- **5.6** The concerned Chief Engineer-cum-Chief Electrical Inspector or Addl. Chief Engineer-cum-Electrical Inspector may call for the records pertaining to the assessment and payments made etc. in **Form II(C)** within 25 (twenty-five) days from the date of receipt of application in **Form-I**, as necessary to verify the correctness of the particulars furnished in the said application. The outstanding demand shall be intimated to the Applicant by the Inspecting Officer after obtaining approval from the Settlement Committee constituted under 5.13 to the applicant in **Form II (D)** within 39 (thirty-nine) days from the date of receipt of application in **Form-I**.

- 5.7 The Applicant shall pay 10% of the outstanding demand as per Form II (D) and apply in Form I (A) to the concerned Inspecting officer within within 49 (forty-nine) days from the date of receipt of application in Form-I. For the applications in Form-II (D) received beyond this period, the Inspecting officer shall recommend to the Settlement Committee constituted under 5.13 for rejection of the said applications.
- 5.8 The committee constituted under 5.13 shall summarily reject the application, if the applicant has not paid 10% of the Demand issued in Form II (D). The rejection order shall be in Form III. Any further application for OTS by the consumer shall not be entertained.
- 5.9 If the consumer deposits 10% of the outstanding demand as per Form II (D), the concerned Chief Engineer-cum-Chief Electrical Inspector or Addl. Chief Engineer-cum- Electrical Inspector shall again verify the correctness of Form I (A) with reference to all relevant records & reassess the amount payable as per the resolution No. ENG-BUD-ED-0005-2019/11797 dated 30.11.2022 of Department of Energy and shall putforth before the committee constituted under 5.13 for decisions.
- **5.10** The concerned Chief Engineer-cum-Chief Electrical Inspector or Addl. Chief Engineer-cum- Electrical Inspector shall intimate the settled amount arrived as per the decision of the committee in **Form IV** called settlement order to the consumer with copy to EIC(Electricity)-cum-PCEI, Odisha and concerned Chief Engineer-cum-Chief Electrical Inspector within 56 (fifty-six) days from the date of receipt of application in **Form-I.**
- 5.11 The applicant need to pay the settled amount in full & final as per demand issued in Form-IV within a period of two months from the date of issue of this form.
- **5.12** If the consumer finds any difficulty to pay the settled amount within two months of issue of **Form-IV** he may write to the concerned Inspecting Officer along with the reasons, recorded in writing, well in advance at least 15 days before the expiry of stipulated 2 months and the Inspecting officer shall forward the same to EIC (Electricity) for extension of time within 3 days.

- **5.13** The Settlement Committee(s) are to be constituted by the EIC (Electricity) -cum- PCEI, Odisha for finalization of the settlement of arrear Electricity Duty. The concerned Chief Engineer-cum-Chief Electrical Inspector shall be the Chairman, concerned Addl. Chief Engineer-cum- Electrical Inspector shall be the Convener, Technical Assistant to concerned Chief Engineer-cum-Chief Electrical Inspector and Accounts Office/Auditor of the office of the concerned Chief Engineer-cum-Chief Electrical Inspector shall be the relevant records along with application shall be placed before the committee for finalization of the settlement amount.
- 5.14 The settlement committee shall approve the statement of settlement of arrears under the resolution No. ENG-BUD-ED-0005-2019/11797, dated 30.11.2022 of Department of Energy shall be issued in Form V. The concerned Chief Engineer -cum- Chief Electrical Inspector or Addl. Chief Engineer -cum-Electrical Inspector shall cause service of the said statement of settlement of arrears with the final settled amount arrived as per the decision of the committee on the applicant endorsing a copy thereof to the EIC (Electricity) -cum-PCEI, Odisha or Chief Engineer-Cum-Chief Electrical Inspector.
- 5.15 The concerned Chief Engineer -cum- Chief Electrical Inspector or Addl. Chief Engineer -cum- Electrical Inspector shall reject the settlement of order in Form VI in case of non-payment in full by the applicant after obtaining due approval from the committee.
- **5.16** In case of rejection of settlement of arrears of Electricity Duty and interest, the amount paid by the applicant under this resolution shall be accounted for and adjusted against restored ED arrears as per usual rules/procedures under the relevant Act.
- 5.17 Applications in the prescribed form received after the stipulated period of 2 (two) months from the date of issue of these operational guidelines shall be liable to be summarily rejected.

### **Revocation of Settlement order:**

**5.18** If it is found that the applicant has obtained the benefit of settlement under this Resolution by suppressing material, information or particulars / furnishing,

incorrect or false information or particulars, the Settlement order shall be liable to be revoked.

- **5.19** Before revocation of the settlement order of the arrears, the concerned Chief Engineer -cum- Chief Electrical Inspector or Addl. Chief Engineer -cum-Electrical Inspector may issue notice in **Form VII** requiring the applicant to show cause within fourteen days from the date of the receipt of the notice as to why the statement of settlement of arrears issued under the Resolution No.ENG-BUD-ED-0005-2019/11797 dated 30.11.2022 of Department shall not be revoked as per the provision of the Act.
- **5.20** The concerned Chief Engineer-Cum-Chief Electrical Inspector or Addl. Chief Engineer-cum- Electrical Inspector shall serve the order of revocation on the applicant in **Form VIII**, after obtaining necessary approval of the committee and also endorse a copy thereof to the EIC (Electricity) -cum- PCEI, Odisha and Chief Engineer-Cum-Chief Electrical Inspector.

### Mode of Payment:

**5.21** The Electricity Duty and interest due under the resolution shall be remitted to Government Treasury through e-payment and as a proof of payment copy of e-challan shall be furnished.

EIC(Electricity)-cum-PCEI, Odisha

### FORM - I

## APPLICATION FOR ONE TIME SETTLEMENT OF ARREAR ELECTRICITY DUTY& INTEREST

(All fields are mandatory and shall be filled by the applicant)

То

The Inspecting Officer

(Chief Engineer-cum-Chief Electrical Inspector /

Addl. Chief Engineer-cum- Electrical Inspector)

.....

.....

Sir/Madam,

I hereby make an application under the One Time Settlement scheme launched by Government vide Resolution No. ENG-BUD-ED-0005-2019/11797 dated 30.11.2022 of Department of Energy.

:

:

I hereby furnish the following particulars –

:

1. Name of the Applicant (in BLOCK LETTERS) :

2. Name of the Captive Consumer

3. Capacity of generating units

4. Address

Registered Office
Telephone No
Email ID
Works
Telephone No.
Email Id

2	Status of the Applicant	:
3.	Status of the Applicant	or not)
	(State whether captive units are running	OI HOL)

- (ii) Assessment year/period upto which the demand relates : 31.03.2022.
- (iii) Issue No. and Date
- 5. Details of arrear demand of Electricity Duty & interest in respect of which settlement is claimed.

SI. No.	Details	Amount (in Rupees)
110.	Amount of demand raised by the Inspecting Officer	
	which is sought to be settled.	
a.	i. Arrear ED (Principal)	
	ii. Interest	
b.	Amount claimed by the Consumer to be waived under this	
	scheme.	
	(i) Arrear ED(Principal)	
	(ii) Interest	
	(iii) Total (i) + (ii)	

### Signature of Applicant

: .....

Encl: 1) Demand letter

- 2) Certificate of Ownership
- 3) Authorization letter if the Applicant is not the owner
- 4) Identity proof of the Applicant
- 5) Any other relevant documents

### DECLARATION

	I						(Nam	ne in Bloc	k Lett	ers)
Son	1	daughter	of							
desia	nation.				.of M/s					
						Company)				
inforr	nation	given in th	is app	lication,	stateme	ents and an	nexures ad	company	ing it	are
corre	ct and	complete to	the b	est of m	y knowle	dge and bel	ief and am	ounts of a	arrear	and
other	partic	ulars shown	therei	n are tr	uly state	d and relate	d to assess	sment yea	ar / pe	eriod
		the applicat								

I further declare that I am making this application in my capacity as ...... (designation) and that I am competent to make this application.

M/s ...... shall withdraw all the cases pending before any court in respect of arrear ED demand& interest in case settlement is arrived.

M/s ..... hereby declare that it shall not be a defaulter in paying electricity duty in future.

M/s ..... shall accept the revocation order, if any, under this OTS Scheme.

Place :

Date :

(Name and Signature of Applicant)

### FORM -II

### ACKNOWLEDGEMENT

.....(Applicant)

(1) Designation of the inspecting officer who raised the demand of ED : (2) Assessment Year upto which period the demand : 31.03.2022 has been raised, (3) Amount of arrear as demanded in Rs. : (4) Mode of receipt i) by post ii) by person concerned iii) by courier iv) by any other means (5) Documents received (i) (ii) (iii) (iv)

Place :

To,

Date :

## FORM -- II(A)

### FORM FOR INTIMATION OF INCOMPLETEAPPLICATION.

To,

	(Applicant)
Received an application in Form I under the 2019/11797 dated 30.11.2022 of	Department of Energy from
(1) Designation of the inspecting officer who raised the demand of ED	:
(2) Assessment Year upto which period the demand has been raised,	: 31.03.2022
(3). Defects/Discrepancies noticed in application	:
i)	
ii)	
iii)	
iv)	
Place :	
Date :	Signature and seal of Inspecting Officer

### FORM -II(B)

## ACKNOWLEDGEMENT OF CORRECT APPLICATION

To,

.....(Applicant)

 Designation of the inspecting officer who raised the demand of ED :
Assessment Year upto which period the demand has been raised, : 31.03.2022
Amount of arrear as demanded in Rs. :
Mode of receipt i) by post ii) by person concerned

iii) by courier

iv) by any other means

Place :

Date .:

### FORM -II(C)

### FORM FOR DOCUMENTS REQUIRED FOR RECONCILIATION.

To,

.....(Applicant)

:

:

- (1) Designation of the inspecting officer who raised the demand of ED
- (2) Assessment Year upto which period the demand has been raised, : 31.03.2022
- (3) Amount of arrear (in Rupees)

	Arrear ED(Principal)	Interest	Total
Electricity Duty			

(4). Requirement of documents pertaining to assessment and payment made

- a)
- b)
- c)
- Place :

Date :

### FORM -II(D)

## FORM FOR INTIMATION OF FINAL OUTSTANDING AMOUNT AFTER RECONCILIATION.

To,

.....(Applicant)

:

:

- (1) Designation of the inspecting officer who raised the demand of ED
- (2) Assessment Year upto which period the demand has been raised, : 31.03.2022
- (3) Outstanding demand (in Rupees)
- (4) 10% of outstanding demand (in Rupees) to be : deposited within 10 days of issue of this Form

	Arrear ED(Principal)	Interest	Total
Electricity Duty			

Place :

Date :

### FORM - I(A)

## FINAL APPLICATION FOR ONE TIME SETTLEMENT OF ARREAR ELECTRICITY DUTY WITH INTEREST

То

The Inspecting Officer

(Chief Engineer-cum-Chief Electrical Inspector /

Addl. Chief Engineer-cum- Electrical Inspector)

.....

.....

Sir/Madam,

I hereby make an application under the One Time Settlement scheme launched by Government vide Resolution No. ENG-BUD-ED-0005-2019/11797 dated 30.11.2022 of Department of Energy.

:

:

:

:

I hereby furnish the following particulars –

:

1. Name of the Applicant (in BLOCK LETTERS)

2. Name of the Captive Consumer

3. Capacity of generating units

4.	Add	ress

Registered Office
Telephone No.
Email ID
Works
Telephone No.
Email Id

5.

Status of the Applicant (State whether captive units are running or not)

[P.T.O.]

### // 2 //

6. (i)	Designation & Address of Inspectin who raised the ED demand.	g Officer :
(ii)	Assessment year/period Upto which the demand relates	: 31.03.22
(iii)	Issue No. and Date	:

 Details of arrear demand of Electricity Duty & interest in respect of which settlement is claimed.

SI. No.	Details	Amount (in Rupees)
а	Amount of demand raised by the Electrical Inspector	
	which is sought to be settled as per Form I	
	i. Arrear ED(Principal)	
	ii. Interest	
	Amount of revised demand sought to be settled as per	
	Form II (D).	
b.	i. Arrear ED(Principal)	
	ii. Interest	
с.	Payment made w.r.t to10% of total arrear mentioned in	
	sl b above.	
d.	Balance Amount to be dealt with under the Scheme (b-c)	
	(i) Principal Electricity Duty (Principal)	
	(ii) Interest	
e.	Indicate the mode of payment	

8. Payment proof in form of e-challan in details for remittance of 10% of arrear ED as per **Form II(D)** in Government Treasury.

Encl :- e-Challan copy

Signature of Applicant

## FORM -III

## (ORDER OF REJECTION OF APPLICATION)

То,				
(Name, ad	ddress, status of the a	applicant)		
Resolution No. E of M/s	NG-BUD-ED-0005-2 Odisha	019/11797 dater in	application dtd d 30.11.2022 of Deptt. c respect	of Energy, Govt. of (Name)
			(address of	
	to deposit the 10% of as payment are as beli		Demand as intimated vio	
(1) Amount of a	rrears due as per sett	lement.		
(3) Amount dep	der the Resolution. osited along with on filed under the Res	solution.		
So, your dated	application is now rej of Department o	ected as per provi of Energy, Governi	sions of the Resolution No. nent of Odisha.	
Place :		By Appr	oval of the Settlement	Committee
Date :			Signature and seal o Inspecting Officer	
Memo No		Dated		
	omitted to the E.I.C.(E tor for kind information		EI, Odisha/ Chief Enginee	r-Cum-Chief
			Signature and seal of Inspecting Officer	

### FORM -IV

### SETTLEMENT ORDER

Applicant
Please take notice that you have filed an application datedunder Resolution
No
M/s(Name)
. (Address of the concern) along with proof of payment for an amount of Rs.
under the resolution and that after deducting the amount of payment already made by you
towards the Electricity Duty / Interest for that period, you have to pay a further sum of Rs.
balance of Electricity Duty / Interest shall be paid within two months days from the date of issue
of the notice through e-challan, failing which the application filed by you shall be rejected.

(1) Issue No. and date of the demand notice

(2) Details of payment of arrears (in Rupees)

		Arrear ED(Principal)	Interest	Total
(i)	Amount of arrears to be settled			
(ii)	Amount determined under resolution			
(iii)	Amount paid along with the application in Form I(A)			
(iv)	Balance amount of arrears to be paid {(ii) – (iii)}			

:

:

Place :

To,

### By Approval of the Settlement Committee

Date :

### Signature and seal of Inspecting Officer

Memo No. \_

/ Dated \_\_\_\_

Copy submitted to the E.I.C.(Electricity)-Cum-PCEI, Odisha/ Chief Engineer-Cum-Chief Electrical Inspector for kind information.

### FORM -- V

### STATEMENT OF SETTLEMENT OF ARREARS

respect of .....

(Name and address of the concern) in accordance with the provisions of the Act towards full and final Settlement of Arrears as per details furnished below;

Now, therefore, in exercise of the powers conferred by Resolution No. ENG-BUD-ED-0005-2019/11797 dated 30.11.2022 of Department of Energy the Order of Settlement of Arrears is issued to the said applicant.

(a)	Certifying	the receipt of	payme	nt from	the appl	icant	towards	full and final	settlement	01
	arrears	determined	in	the	order	of	the	Inspecting	Officer	in
						•••••				
(Asses	sment ord	er No. / Act /	year to	which	the asse	ssmer	nt relates	s) dated		in
respect of										
respec										
				••••••				с	- line at an	dt
(Name	e and addr	ess on the con	icern) (	on the a	applicatio	n mac	le by th	e aforesaid a	pplicant on	at.

(b) Granting waiver of the balance amount of arrear payable as detailed below:

		Arrear ED (Principal)	Interest	Total
(i)	Amount of arrears sought for settlement			
(ii)	Amount determined under resolution in Form IV			
(iii)	Amount paid along with the application in Form I (A)			
(iv)	Balance amount paid as per demand notice in Form IV.			

By Approval of the Settlement Committee

Place :

Date :

Signature and seal of Inspecting Officer

Memo No. \_\_\_\_\_/ Dated \_\_\_

Copy submitted to the E.I.C.(Electricity)-cum-PCEI, Odisha/Chief Engineer-Cum-Chief Electrical Inspector for kind information.

### FORM -VI

# (ORDER OF REJECTION OF SETTLEMENT OF ARREARS OF ELECTRICITY DUTY AND INTEREST)

To,

(Name, address, status of the applicant)

This is to inform that you have filed an application dated...... in Form-I under the Resolution No.ENG-BUD-ED-0005-2019/11797 dated 30.11.2022 of Department of Energy, Government of Odisha on dt. ..... as per the provisions of the said Resolution containing the details below:

(1)	Designation of the Inspecting officer who raised the Demand	:		
(2)	Assessment year / period upto which The order relates	:	31.03.22	1
(3)	Date of Demand notice in Form IV	:		
(4)	Amount of arrears (in Rupees)	:		

Electricity Duty	Arrear ED(Principal)	Interest	Total

As per provisions of the Resolution No.ENG-BUD-ED-0005-2019/11797 dated 30.11.2022 of Department of Energy, Government of Odisha, the application is hereby rejected due to non-payment of settled amount.

Place :

By Approval of the Settlement Committee

Date :

### FORM -VII

(Show cause notice for revocation of the order of settlement of arrears)

To,

.....

(Name, address, status of the applicant)

Please take notice that you have been issued order of settlement of arrears in Form V under Resolution No. ..... dt. ..... containing the following details:

(i)	Date of order	:
(ii)	Issue number and date	:
(iii)	Amount waived as below (iv to v)	:
(iv)	Arrear Electricity Duty (Principal)	:Rs
	Interest	:Rs

It is noticed that you have obtained the benefit of settlement under the Electricity (Duty)Act by suppressing material, information or particulars / furnishing, incorrect or false information or particulars (i.e. .....)

You are now directed to show cause within fourteen days from the date of receipt of this notice as to why the order of settlement of arrears shall not be revoked. If you fail to reply, the order of revocation shall be issued. The amount paid shall be adjusted towards electricity duty as per OED Act.

Place : Date :

#### FORM -VIII

### ORDER OF REVOCATION

(i)	Date of order	:
(ii)	Issue number and date	:
(iii)	Inspecting Officer	:
(iv)	Amount waived as below (v to vi)	:
(v)	Arrear Electricity Duty (Principal)	:Rs
(vi)	Interest	:Rs

And, whereas, the Inspecting Officer came to know that the applicant had obtained the benefit of settlement under the Electricity Duty Act by suppressing material information / furnishing incorrect or false information / particulars (i.e. .....)

Now, therefore, the Inspecting Officer hereby revokes the order of settlement of arrears dated ...... issued to the said applicant.

Place :

By Approval of the Settlement Committee

Date :

Signature and seal of Inspecting Officer

Memo No. \_\_\_\_\_/ Dated \_\_\_\_\_/

Copy submitted to the E.I.C.(Electricity)-cum-PCEI, Odisha/Chief Engineer-Cum-Chief Electrical Inspector for kind information.

Memo No. 5358 / Dated 7.12.2022 /

Copy submitted to the Principal Secretary, Department of Energy, Government of Odisha for his kind information.

12/22

EIC (Electricity)-cum-PCEI,

Odisha, Bhubaneswar

Memo No. \_\_\_\_\_/ Dated \_\_\_\_\_/

Copy forwarded to the C.E. cum C.E.I. (CZ/WZ/NEZ/SZ) and all A.C.E. cum E.I.s for information and necessary action.

EIC (Electricity)-cum-PCEI, Odisha, Bhubaneswar